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**Early Outcomes of a Sequential Series of 144 Patients with Dupuytren’s
Contracture Treated by Collagenase Injection Using an Increased Dose, Multi-
Cord Technique**

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Summary

23 Collagenase clostridium histolyticum (CCH) is the first and only United States Food and Drug Association
24 (FDA) approved nonsurgical treatment for patients with a palpable Dupuytren's contracture (DC) cord.

25 However, the FDA has only approved injection of 0.58 mg of this enzyme into one palpable DC cord at a
26 time. This review reports on the early outcome of 144 patients treated with the entire bottle of

27 enzyme, approximately 0.78 mg, along with use of a novel slow intracord multicord (SIMple) technique.

28 Use of 0.78 mg of enzyme, with the SIMple technique is safe and allows one to inject multiple DC cords
29 at one setting. Correction at MCP and PIP joints, taken individually, are comparable to the CORD studies

30 at 43 and 33 degrees respectively, however due to the multi-cord injection, we achieved 94° average
31 immediate and 76° average final combined MCP and PIP contracture releases per bottle of enzyme.

32 Implementation of the SIMple technique has the potential to improve current treatment for DC with
33 resultant significant healthcare savings.

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INTRODUCTION

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Historically, treatment for Dupuytren's contracture (DC) consisted of open fasciectomy, open fasciotomy, or needle aponeurotomy, frequently followed by hand therapy (Sennwald, 1990; Denkler, 2005; Leclercq, 2000; Coert et al., 2006; van Rijssen et al., 2006; van Rijssen and Werker, 2006; Stewart et al.). Unfortunately, this treatment is associated with significant potential complications (Loos et al., 2007; Foucher et al., 2003; Denkler, 2010; Bulstrode et al., 2005; Mc Farlane and Jamieson, 1966; Jabaley, 1999; Mavrohenis et al., 2009; Sennwalk, 1990). In February 2010, the Food and Drug Administration (FDA) approved injectable collagenase clostridium histolyticum (CCH) (Xiaflex; Auxilium Pharmaceuticals, Inc, Malvern PA) as the first and only nonsurgical treatment for adult patients with DC with a palpable cord.

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The FDA approved the injection of 0.58 mg of CCH into a single DC cord. This injection can be repeated once a month, up to three times, to achieve a contracture release to within 0-5° of normal. In the Collagenase Option for the Reduction of Dupuytren's (CORD) I and II studies (Hurst et al., 2009; Gilpin et al., 2010), a mean 1.7 injections, were required to achieve a reduction in contracture to within 0 – 5° of normal. A bottle of this enzyme costs approximately \$3300. Estimated total Medicare surgical costs for DC treatment range from \$3500 for palm only disease to \$4300 for 2 finger PIP involvement (AMA 2013 CPT/Relative Value Search). Total surgical costs were calculated as the sum of procedure, anesthesia, facility, and occupational/physical therapy costs. Self pay and private insurance total surgical costs can greatly exceed Medicare amounts.

61 Previous clinical, toxicology, and Immunology studies suggested safety with complete CCH bottle
62 injection (Badalamente et al., 2002; Edkins et al., 2012). Safety with injection greater than 0.58 mg CCH
63 also supported with preliminary unpublished and exploratory published multi cord studies, injecting 2
64 concurrent cords each with 0.58 mg of CCH (Coleman et al., 2012).

65 In an effort to save health care dollars and improve efficacy, I routinely inject the entire bottle of
66 enzyme using a novel slow intracord multicord (SIMple) technique. I hypothesized significant
67 improvement in efficacy, significant reduction in overall health care costs, and no increase in patient
68 morbidity.

69 On February 28, 2011, the European Medicines Agency approved CCH (Xiapex; Swedish Orphan
70 Biovitrum AB; Stockholm, Sweden) for treatment of DC in 28 EU member countries, including Sweden
71 and Norway, with the same 0.58 mg dosage instructions.

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MATERIAL AND METHODS

85 **Patients**

86 After obtaining regional institutional review board approval, I retrospectively reviewed every
87 patient that I injected with CCH from May 2010 to November 2012. 144 patients (119 men, 25 women)
88 were injected. Every patient was instructed pre-procedure that this technique was off label, not FDA
89 approved, and the potential serious side effects with use of CCH, were outlined and highlighted.

90 **Clinical Evaluation**

91 All patients had complete medical records including preinjection and postinjection
92 measurements. Contracture measurements were made using standard technique with finger
93 goniometer, direct observation, and table top testing pre injection, after manipulation, and at
94 subsequent visits. Serious adverse events (SAE) were monitored and screened.

95 **Injection Technique**

96 CCH was reconstituted using the manufacturers recommended technique for MCP contractures
97 with 0.39 ml of sterile diluent. The reconstituted vial was gently inverted with care taken to remove
98 every drop of enzyme. With the addition of 0.39 ml of diluent, I routinely retrieved 0.34 ml
99 reconstituted enzyme, representing 0.78 mg of CCH. The FDA approved injection technique allows 0.58
100 mg of enzyme. Additional enzyme is present in the bottle as it is common in the pharmaceutical

101 business to include more product than needed to account for potential waste (Auxilium – personal
102 communication Feb. 2010)

103 For every patient, except the first, the entire bottle was used. This represents 0.2 mg additional
104 CCH, or a 34% increase.

105 CCH dose was divided, depending on clinical severity, to maximize efficacy of each injection. On
106 average, 2.5 separate DC cords were injected, per patient, per CCH bottle.

107 For the purpose of this paper, to facilitate resultant analysis, and to directly compare these
108 results with previously published CCH injection results, pretendinous cords were defined as cords in the
109 palm, proximal to the finger flexion crease. Spiral cords were defined from the finger flexion crease to
110 the PIP flexion crease, and retrovascular cords from the PIP flexion crease distally. Injections into
111 pretendinous Y cords were considered single pretendinous injections. Even though there is significant
112 variability amongst cords located in the proximal phalangeal area, all cords in this region were defined
113 as spiral cords. All cord types were injected. The author did not refuse to inject any cord type.

114 After approximately the tenth patient, the author serendipitously discovered the SIMple
115 technique. The SIMple technique insures direct CCH injection into the DC cord in an effort to maximize
116 CCH efficacy. The author included his first 10 patients in the retrospective review to most accurately
117 reflect the results of the author's first 144 patients and to allow other hand surgeons, who are
118 considering this technique, with its associated learning curve, an idea of expected results.

119 With the SIMple technique, the needle is inserted into the center of the DC cord and firm
120 pressure is applied to the plunger of the syringe with one hand. The opposite hand stabilizes the
121 patient's hand and associated cord that is being injected. Given the long injection process, the index
122 finger of the opposite hand stabilizes and applies counterforce to the hub of the needle to prevent

123 inadvertent penetration through the cord. Constant pressure is applied to the syringe plunger, injecting
124 the CCH. With this SIMple technique, no apparent enzyme is frequently injected for several minutes.
125 Depending on the apparent density of the collagen bundle, resistance on the injection plunger usually
126 suddenly disappears, and one can easily inject the CCH into the cord, after approximately 1 to 5
127 minutes. The needle is then routinely partly withdrawn and redirected one to two times at the same
128 location with the same technique. Usually, significant less time is required for injection at each
129 redirected location. This process is repeated for every cord injected. With this technique, complete
130 injection of the entire bottle of enzyme takes anywhere from several to approximately 15 minutes.

131 For spiral cords I routinely inject at the PIP joint and mid proximal phalangeal level. This
132 technique is not recommended by the manufacturer, due to fear of tendon rupture. For these areas,
133 the needle is injected into the spiral cord from dorsal to volar, injecting away from the flexor tendons.
134 Again, the SIMple technique is utilized. For small cords, placement of the needle into the cord is
135 sometimes tricky and feels similar to threading a vein during venipuncture. Retrovascular cords at the
136 middle phalangeal level and DIP joint area are injected using a similar technique.

137 At all times, if no resistance is appreciated at initiation of attempted injection, the needle is
138 redirected and “rethreaded” into the DC cord. Confirmation of placement into the cord is achieved with
139 solid knowledge of anatomy, careful technique, and firm resistance with attempted injection. Care is
140 taken to avoid injecting CCH into the soft tissue adjacent to the cord. This injection technique was
141 employed with all cord types, even with very thin or flat cords. On occasion, patients had acute pain
142 during injection, possibly secondary to placement of the needle adjacent to the neurovascular bundle.
143 However, local anesthetic was given at time of enzyme injection for only 2 or 3 patients, and this was
144 only done for repeat injections at patient’s request.

145 After injection, a soft dressing is applied. The patient returns the next day for manipulation.
146 Local field block was performed for all patients, except one, using a combination of 1% lidocaine and
147 0.5% marcaine. After 10-15 minutes, the affected cords are manipulated with the wrist and MCP flexed
148 for spiral and retrovascular cords and with wrist flexion for pretendinous cords. After manipulation, a
149 soft tissue dressing is applied, except for severe PIP contractures and for patients who developed skin
150 lacerations.

151 For PIP contractures greater than 60°, a dorsal padded finger splint is applied every night for 2-3
152 weeks. For patients who develop skin lacerations, occlusive petrolatum gauze along with soft dressing
153 and plaster splint is applied, holding the affected digits in maximal extension. Patients remove this
154 dressing the next day and start twice daily soaks in warm water with magnesium sulfate salts. They
155 continue their nighttime finger splints as directed above.

156 After injection, patients avoid heavy lifting, gripping, or squeezing for one week. Patients
157 routinely return at 7-14 days. Patients who develop skin lacerations routinely return for wound check
158 approximately 5-6 days after injection. Patients follow up 1 month after injection and subsequently as
159 needed.

160 The author excluded patients with thumb, first web space, and retrovascular cord injections,
161 and patients with <20° MCP or PIP contractures, from statistical analysis in an effort to directly compare
162 results with previously published reports using a similar cohort of patients (Hurst et al., 2009; Gilpin et
163 al., 2010).

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RESULTS

172 521 separate DC cords were injected, of these there were 302 pretendinous and 193 spiral
173 cords. 28 thumb, 7 first web space, and 10 retrovascular cords were injected.

174 The CCH injection results were stratified by the degree of preinjection contracture at the MCP
175 and/or PIP joints (Table 1). Results were stratified in this fashion, and both included and excluded
176 patients with greater than 80° PIP contractures to directly compare results with CORD I and II.

177 The results for isolated pretendinous and spiral cord injections were analyzed (Table 3 and 4).
178 Patients who only had 1 bottle of CCH injected per hand were also analyzed, to most accurately reflect
179 results for a typical new DC patient who presents to the office for injection. This information is helpful
180 to educate new patients about expected contracture release results with CCH injection (Tables 4 and 5).

181 Every patient developed swelling, ecchymosis, and tenderness at the injection site. Swelling and
182 tenderness typically resolved by 2 weeks post injection. Approximately 40% of patients developed
183 axillary swelling, tenderness, and lymphadenopathy. The presence or absence of this finding was not
184 always documented. This typically resolved 1 day post injection. 35 skin lacerations, defined as skin
185 splitting or tearing at time of manipulation, were noted. 10 of these skin lacerations occurred in
186 patients with >80° PIP contractures. All skin lacerations, even those with exposed tendon sheaths,
187 healed by secondary intention. No infections were noted. 5 patients developed recurrent DC, defined

188 as >20° contracture for a cord that was injected. All patients underwent repeat injection of those cords.
189 Less than 5 patients went to occupational therapy after injections and these were all multiple finger DC
190 patients. Except for these 5 patients, nearly all patients had supple full finger range of motion, as
191 allowed by their residual DC, 2 weeks post injection.

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DISCUSSION

194 This paper is clinically significant as it represents the entire CCH clinical experience of a single
195 practitioner, utilizing a non-FDA approved injection technique, and represents 1% of all CCH injections
196 performed in the United States from inception of CCH clinical trials to completion of this retrospective
197 review.

198 The results are compared to previously published studies (Hurst et al., 2009; Gilpin et al., 2010;).
199 CORD I demonstrated 41° mean improvement in ROM at the MCP when pretendinous cords were
200 injected and a 29° mean improvement in ROM at the PIP when spiral cords were injected. CORD II study
201 demonstrated a 40° mean improvement in ROM at the MCP when pretendinous cords were injected
202 and a 32° mean improvement in ROM at the PIP when spiral cords were injected. These results were
203 achieved with mean 1.7 injections per DC cord. Using a similar patient cohort, this study demonstrated
204 immediate 49° average MCP and 45° average PIP contracture correction improvements per bottle of
205 enzyme along with final 43° average MCP and 33° average PIP contracture correction improvements at
206 average follow-up of 60 days. This 94° average immediate and 76° average final combined MCP and PIP
207 contracture releases per bottle of enzyme, demonstrates a significant improvement from the isolated
208 MCP or PIP release results noted with the FDA approved technique in CORD I and II.

209 Looking at isolated injections into single cords, a mean of 0.38 mg of CCH was injected per
210 pretendinous cord and 0.31 mg of CCH was injected per spiral cord with comparable or better results
211 than found in the CORD I and II studies where a mean of 0.99 mg of CCH was injected per isolated cord.
212 On average, the author injected 2.5 separate DC cords per CCH bottle. Frequently, patients with severe
213 3 and 4 finger DC had complete correction with only 1 bottle of CCH.

214 Improved results, compared to CORD I and II, are partly attributed to routine use of local
215 anesthetic for manipulation, allowing for more forceful, but painless manipulation. A retrospective
216 review (Denkler K, et al. 2011; ASSH E-poster #21.) demonstrated improved success with local anesthetic
217 prior to attempted cord manipulation, with 63% of injections into single cords achieving complete
218 immediate release, compared to 39% of patients achieving similar complete release in CORD I, 30 days
219 after first injection.

220 With use of the first CCH bottle, 100% complete immediate correction was achieved for
221 contracted pretendinous cords with MCP contracture $\geq 20^\circ$, using only a mean 0.39 mg CCH. 87%
222 complete immediate correction rate was achieved for contracted spiral cords with PIP contracture $\geq 20^\circ$
223 using only a mean 0.28 mg CCH. These results were maintained. 83% of patients maintained complete
224 MCP correction at 35 day average and 58% of patients maintained complete PIP correction at 38 day
225 average.

226 Frequently, new patient's present and ask what the expected results would be with CCH for
227 their DC. Results for only one bottle of CCH injected per hand were analyzed to most accurately reflect
228 the expected results for a new DC patient who presents to the office. These results were compared to
229 CORD I and II results. Using the SIMple technique, significantly less CCH was required to release both
230 spiral and pretendinous cords with improved final DC corrections and a higher percentage of complete
231 MCP and PIP corrections. With this technique, multiple cords can be injected at one visit, with one

232 bottle of CCH, resulting in improved patient convenience and reduced overall health care costs (Tables 4
233 and 5).

234 The CCH preparation consists of 2 distinct collagenases, AUX-1 and AUX-II, in an approximate 1:1
235 ratio that cleaves collagen strands at different sites (Badalamente and Hurst, 2007; French et al., 1987;
236 Starkweather et al., 1996). The author believes improved results are related to the SIMple technique.
237 Micro amounts of AUX I and AUX II enzyme are released into the collagen cord with initial injection.
238 These enzymes work immediately, breaking down collagen. After one to several minutes, depending on
239 the density of the DC cord, enough collagen strands are disrupted, dramatically increasing permeability
240 of the cord. This loss of resistance, with constant pressure on the needle plunger, is very reproducible.
241 The author believes that relatively only a few collagen strands have to be disrupted for this loss of
242 resistance and increased permeability to be noticed.

243 The injected enzyme then runs along and inside the cord, dissolving the cord from inside-out
244 over the next several hours. In contrast, with an injection adjacent to the cord, the CCH dissolves the
245 cord from outside-in. In this scenario, some of the enzyme molecules are effectively washed away.
246 Others are broken down by the bodies' endogenous Alpha 2 macroglobulin enzymes that act against its
247 own collagenolytic matrix metalloproteinases (MMPs). Further, with injection adjacent to the cord,
248 there is greater potential for spread of enzyme to nearby flexor tendons or pulleys. The SIMple
249 technique allows one to use less CCH at a location to dissolve a cord. This technique, however, does
250 take significant time. By using the entire bottle of CCH with this technique, one can inject multiple cords
251 with improved efficacy and potentially fewer side effects as the enzyme is contained within the cord as
252 opposed to being in the soft tissue adjacent.

253 Compared to a standard 0.58 mg injection, there was no apparent additional morbidity with
254 injection of the entire bottle of CCH. Further, with good knowledge of anatomy, and careful technique,

255 one can safely inject spiral and retrovascular cords with good results. The SIMple technique is important
256 whenever an attempt is made to inject more than 3-4 mm distal to the MCP joint flexion crease. The
257 intracord injection minimizes potential spread of enzyme to nearby flexor tendons, lessening potential
258 for tendon disruption.

259 100% of patients injected developed swelling, ecchymosis, and tenderness at their injection
260 sites. This is in contrast to previous studies and verbal discussions with other injecting physicians, where
261 a small percentage of injected patients are nonresponder patients, ie no swelling, ecchymosis, or
262 tenderness at their injection sites and no apparent cord disruption with the finger extension maneuver.

263 No patients developed tendon ruptures, anaphylaxis, or other serious adverse events. The
264 incidence of skin lacerations and blood blisters was higher than found during CORD I and CORD II (Hurst
265 et al., 2009; Gilpin et al., 2010), likely related to increase enzyme dosage used ~~and~~ manipulation
266 performed under local anesthesia, allowing for more forceful manipulation. These potential risks,
267 including immunologic sensitization, were discussed with every patient pre-injection. No immunologic
268 evaluations were performed. Over the course of the review, one patient received 9 complete CCH
269 bottles. The author is not aware of any other patient who has received this dose of CCH.

270 5 patients developed recurrent DC, defined as greater than 20°, at a mean of 11.5 months after
271 injection (range 2 – 28 months). This retrospective review was not designed to evaluate long term
272 recurrence.

273 Injection of CCH into the thumb is an FDA off-label technique. The injection results were less
274 reliable and favorable with thumb and first web space cord injections. This could be related to patient
275 demographics and small sample size. The author had several young patients in this subset, with bilateral
276 five digit DC and multiple diasthesis risk factors. First web space cords softened after injections.
277 Involvement of the thumb and first web space reflects more severe DC. The author cautions patients

278 with significant thumb and first web space involvement that results appear worse with injection into
279 these areas, yet other authors (Bendon and Giele, 2012) have reported good outcomes after thumb
280 injection.

281 The author notes decreased results with severe PIP contractures and Boutonniere deformities,
282 secondary to attenuation and stretching of the extensor mechanism. Frequently, complete passive
283 correction of the PIP contracture is achieved with a mild to moderate residual Boutonniere deformity.
284 The author cautions patients with severe PIP contractures to expect skin lacerations during
285 manipulation.

286 Weaknesses of this study are the retrospective nature with an unblinded and potentially biased
287 author. Widespread adoption of this SIMple technique will require other researchers and clinicians to
288 verify and support these findings.

289 This technique demonstrates improved patient convenience by allowing multiple cords to be
290 injected at the same time, resulting in significant overall health care savings. The FDA approved
291 technique only allows 0.58 mg CCH to be injected into one cord at a time. If the results of this technique
292 are verified and the use of this method becomes commonplace, the potential healthcare savings are
293 enormous compared to the typical surgeon, surgicenter, anesthesia, and occupational therapy charges
294 associated with open fasciectomy. Highlighting these results, less than five patients needed
295 occupational therapy after injection, and most had five finger DC.

296 This study demonstrates improved efficacy with the SIMple technique, allowing one to inject
297 multiple DC cords at one setting, with no apparent additional morbidity with use of the entire bottle of
298 CCH. A hurdle to widespread implementation is the significant increased time required to perform this
299 SIMple injection, compared to injecting single cords. Unfortunately, current reimbursement methods
300 reward additional injections performed, as opposed to improved results. Implementation of the SIMple

301 CCH technique has the potential to improve current treatment for DC with resultant significant
302 healthcare savings.

303 **Acknowledgement**

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305 Simonson for statistical support.

306 **Ethical issues**

307 After obtaining regional institutional review board approval, I retrospectively reviewed every patient
308 that I injected with collagenase from May 2010 to November 2012. 144 patients (119 men, 25 women)
309 were injected. Every patient was instructed pre-procedure that this technique was off label, not FDA
310 approved, and the potential serious side effects with use of collagenase, were outlined and highlighted.

311 **CONFLICT OF INTEREST**

312 The author is a speaker and consultant for Auxilium.

313 **Funding**

314 This research received no specific grant from any funding agency in the public, commercial, or not-for-
315 profit sectors

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317 Key words: Dupuytren's contracture (DC); Collagenase (CCH); SIMple technique; intracord

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